

## Significant Financial Interest Disclosure

Applicable to all Investigators applying to PHS (including NIH), NSF or other funding agencies requiring disclosure

Faculty/Staff Name \_\_\_\_\_

Department \_\_\_\_\_

Proposal Title \_\_\_\_\_

Proposal Submitted to (name of funding agency) \_\_\_\_\_

I do not have any significant financial interests\* to disclose at this time.

I am disclosing the following significant financial interests\* (check one) and attaching supporting documentation (in an envelope marked CONFIDENTIAL) that identifies the entity involved and the nature and amount of the interest:

\*Significant financial interests are defined as an interest of monetary value in one more of the following by the Investigator (including the Investigator's spouse or domestic partner and dependent children) that reasonably appear to be related to the Investigator's institutional responsibilities, including the research or educational activities funded or proposed for funding. See full policy on for exempt interests.

Financial interest in a **publicly traded entity** if the value of any remuneration received in the last 12 months and the current value of any equity interest holdings in the entity, when aggregated, exceeds \$5,000. Check all that apply:

\_\_\_ Salary or other payment for services (e.g., consulting fees, honoraria, paid authorship)

\_\_\_ Equity interest (e.g., stocks, stock options, or other ownership interests)

Financial interest in a **non-publicly traded entity** if the value of any remuneration received in the last 12 months, when aggregated, exceeds \$5,000; **OR** any equity interest holdings in the entity. Check all that apply:

\_\_\_ Salary or other payment for services (e.g., consulting fees, honoraria, paid authorship)

\_\_\_ Equity interest (e.g., stocks, stock options, or other ownership interests)

Income from intellectual property rights and interests (e.g., patents, copyrights, and royalties).

Reimbursed or sponsored travel, provided that the travel is not reimbursed or sponsored by a federal, state, or local government agency; an institution of higher education; an academic teaching hospital; a medical center; or a research institute affiliated with an institution of higher education.

Other significant interests of the investigator that possibly could affect or be perceived to affect the results of the research or educational activities funded or proposed for funding.

Any financial interests received from a foreign institution of higher education or the government of another country (which includes local, provincial, or equivalent governments of another country)

### Further I agree:

- To update this disclosure during the period of the award on an annual basis, or as new reportable significant financial interests are discovered or acquired (within 30 days).
- To cooperate in the development of a plan to resolve any conflicts of interest.
- To comply with any conditions or restrictions imposed by the College to manage, reduce, or eliminate actual or potential conflicts of interests or to forfeit the award.

Investigator: \_\_\_\_\_  
Signed \_\_\_\_\_ Date \_\_\_\_\_